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# ***Clustering XML Documents: a Distributed Collaborative Approach***

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# Motivations

- The size of collections of XML documents is often huge and inherently distributed
- Classical centralized approaches may not be efficient



- **Our proposal:** the first collaborative distributed framework for efficiently clustering XML documents

# Centroid-based partitional clustering in a collaborative distributed framework

- Centroid-based partitional clustering
  - Partition a set of objects into  $k$  clusters
  - Object-to-cluster assignment is driven by similarity of data to cluster representatives (cluster centroids)
  
- Cluster centroids can efficiently be exchanged through the network
  - Each peer computes
    - a “local” clustering solution
    - and a subset of the “global” clustering solution
  - Global centroids are used to update local solution

# Clustering XML documents: the core method

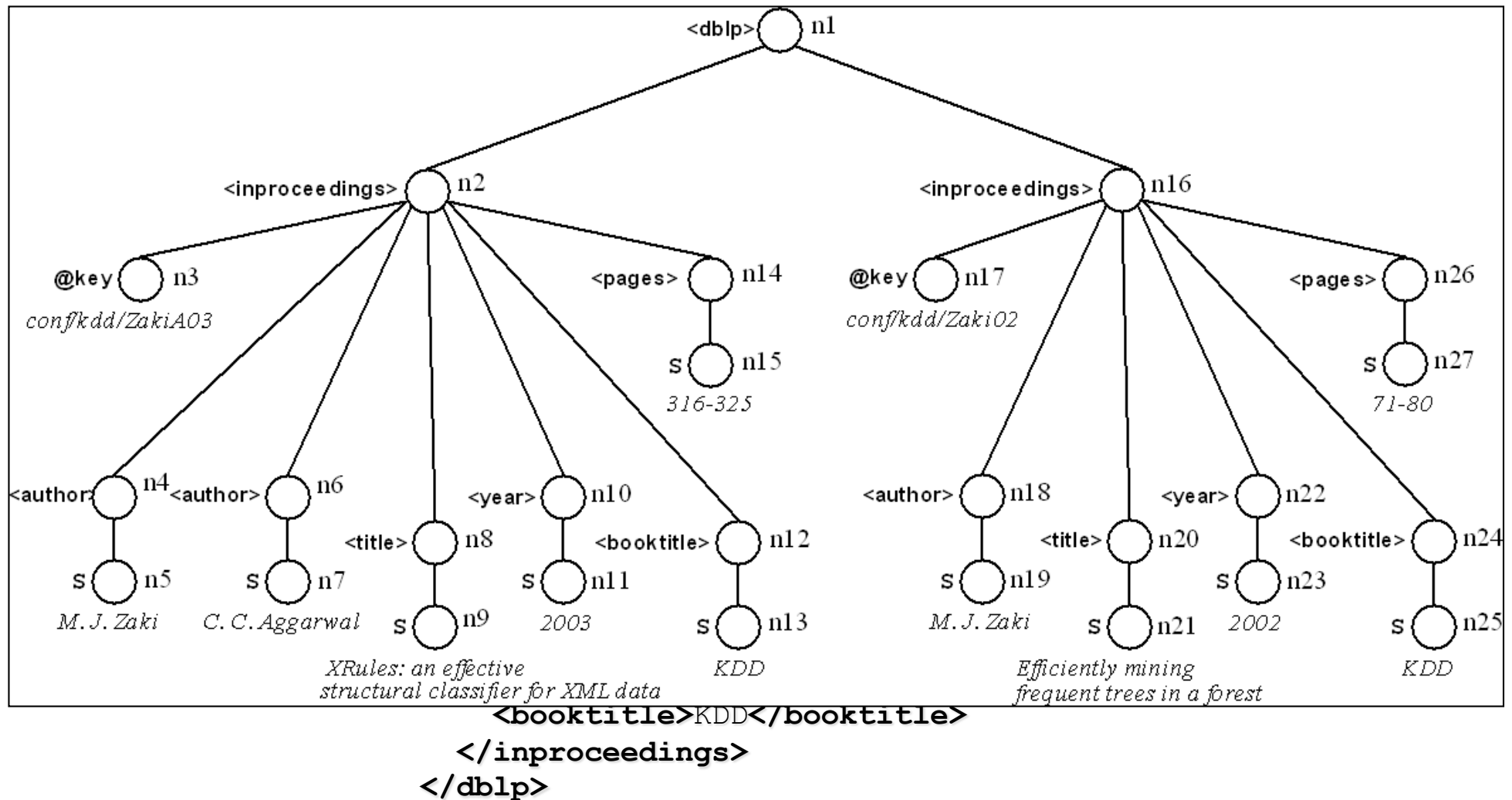
*[Tagarelli and Greco, SDM'06]*

*[Tagarelli and Greco, TOIS'09]*

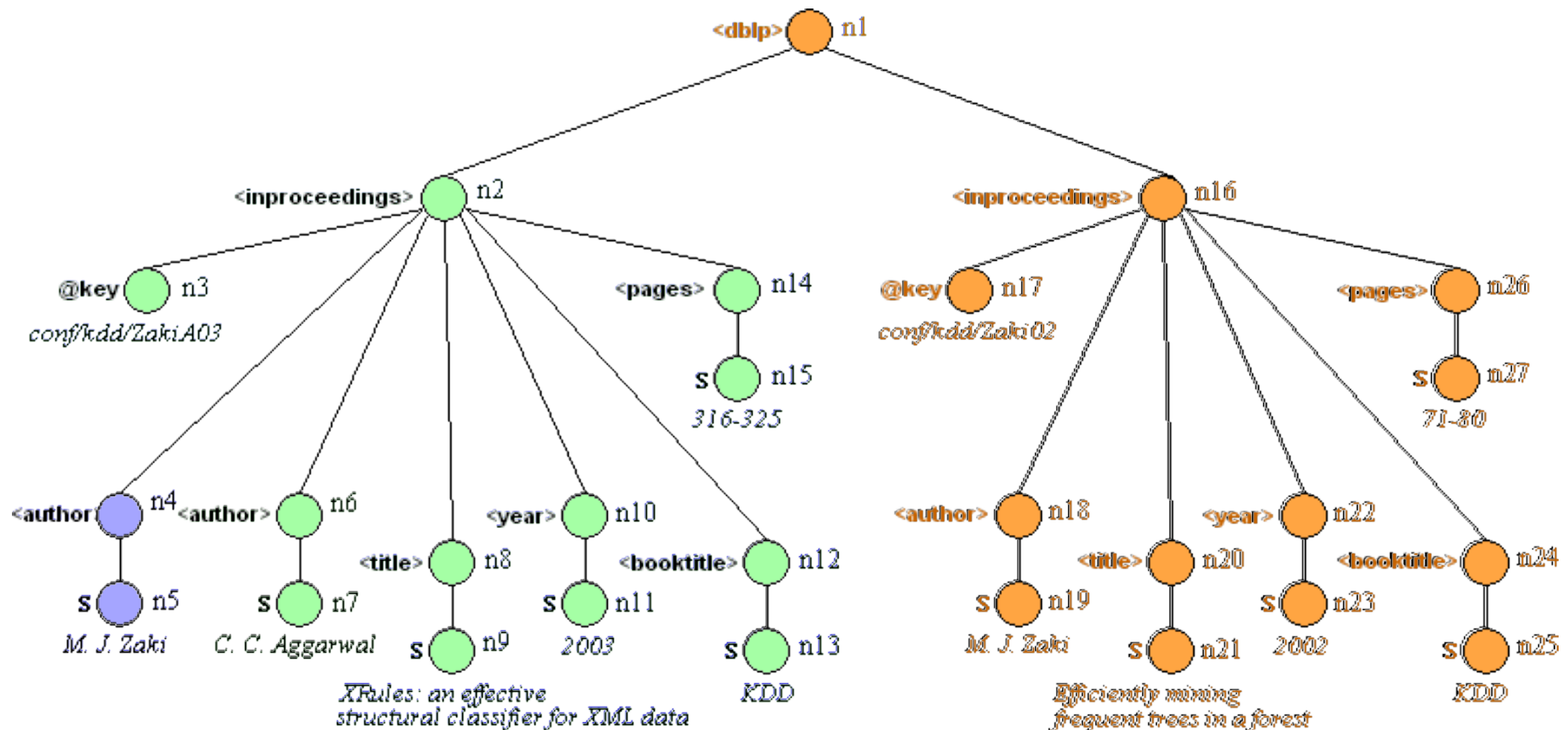
## Main steps

1. **Extracting XML tree tuples**
2. **Modeling XML tree tuples as transactions**
  - XML feature generation
3. **Clustering XML transactions**

# Extracting XML tree tuples: The DBLP Example



# Extracting XML tree tuples: The DBLP Example



# Modeling XML transactions: The DBLP Example

$path(p)$	$\tau_1 \cdot p$	node ID
dblp.inproceedings.@key	"conf/kdd/ZakiA03"	$n_3$
dblp.inproceedings.author.S	"M. J. Zaki"	$n_5$
dblp.inproceedings.title.S	"XRules: an effective ..."	$n_9$
dblp.inproceedings.year.S	"2003"	$n_{11}$
dblp.inproceedings.booktitle.S	"KDD"	$n_{13}$
dblp.inproceedings.pages.S	"316-325"	$n_{15}$

$path(p)$	$\tau_2 \cdot p$	node ID
dblp.inproceedings.@key	"conf/kdd/ZakiA03"	$n_3$
dblp.inproceedings.author.S	"C. C. Aggarwal"	$n_7$
dblp.inproceedings.title.S	"XRules: an effective ..."	$n_9$
dblp.inproceedings.year.S	"2003"	$n_{11}$
dblp.inproceedings.booktitle.S	"KDD"	$n_{13}$
dblp.inproceedings.pages.S	"316-325"	$n_{15}$

$path(p)$	$\tau_3 \cdot p$	node ID
dblp.inproceedings.@key	"conf/kdd/Zaki02"	$n_{17}$
dblp.inproceedings.author.S	"M. J. Zaki"	$n_{19}$
dblp.inproceedings.title.S	"Efficiently mining ..."	$n_{21}$
dblp.inproceedings.year.S	"2002"	$n_{23}$
dblp.inproceedings.booktitle.S	"KDD"	$n_{25}$
dblp.inproceedings.pages.S	"71-80"	$n_{27}$

item ID	corresponding node IDs
$e_1$	$n_3$
$e_2$	$n_5, n_{19}$
$e_3$	$n_9$
$e_4$	$n_{11}$
$e_5$	$n_{13}, n_{25}$
$e_6$	$n_{15}$
$e_7$	$n_7$
$e_8$	$n_{17}$
$e_9$	$n_{21}$
$e_{10}$	$n_{23}$
$e_{11}$	$n_{27}$

$tr_1$	$e_1 e_2 e_3 e_4 e_5 e_6$
$tr_2$	$e_1 e_7 e_3 e_4 e_5 e_6$
$tr_3$	$e_8 e_2 e_9 e_{10} e_5 e_{11}$

# Clustering XML transactions: XML tree tuple item similarity

- Function of structure and content features

$$\text{sim}(e_i, e_j) = f \times \text{sim}_S(e_i, e_j) + (1 - f) \times \text{sim}_C(e_i, e_j)$$

- Tolerance-aware matching
  - Notion of  $\gamma$ -matched items
- Similarity by structure
  - computed by comparing tag paths
- Similarity by content
  - cosine similarity between TCUs



# Collaborative Clustering of XML transactions

## ■ C XK-means: process $N_0$

- Data are distributed over  $m$  peer nodes
- Each node communicates with all the other ones sending local representatives and receiving global representatives
- An initial process corresponding to a node  $N_0$  defines a partition of the  $k$  clusters into  $m$  subsets  $Z_j$ :

**Process**  $N_0$

**Method:**

```
define a partition of  $\{1..k\}$  into  $m$  subsets  $Z_1, \dots, Z_m$ ;  
for  $i = 1$  to  $m$  do  
    send ( $\{Z_1, \dots, Z_m\}, k, \gamma$ ) to  $N_i$ ;
```

# Collaborative Clustering of XML transactions

- **CXK-means**: process  $N_i$ 
  - Each node  $N_i$  computes:
    - Local clusters  $C_1^i, \dots, C_k^i$
    - Local representatives  $c_1^i, \dots, c_k^i$
    - (A subset of) global representatives  $c_{i_1}, \dots, c_{i_{q_i}}$ , using the local representatives computed by all nodes

```

receive ( $\{Z_1, \dots, Z_m\}, k, \gamma$ ) from  $N_0$ ;
let  $Z_i = \{j_1, \dots, j_{q_i}\}$ , with  $0 \leq q_i \leq k$ ,  $\sum_{i=1}^m q_i = k$ ;
/* selects  $q_i$  initial global clusters */
select  $\{tr_1, \dots, tr_{q_i}\}$  from  $\mathcal{S}^i$  coming from distinct original trees;
 $g_{j_s} = tr_s, \forall s \in [1..q_i]$ ;
 $C_j^i = \{\}, \forall j \in [1..k]$ ;
repeat
  send (broadcast)  $\{g_j | j \in Z_i\}$  to  $N_1, \dots, N_m$ ;
  receive  $\{g_j | j \in Z_h\}$  from  $N_h, \forall h \in [1..m]$ ;
   $\ell_j^i = g_j, \forall j \in [1..k]$ ;
  repeat /* transaction relocation */
     $C_{k+1}^i = \{tr \in \mathcal{S}^i | sim_J^\gamma(tr, \ell_j^i) = 0, \forall j \in [1..k]\}$ ;
    for each  $j \in [1..k]$  do
       $C_j^i = \{tr \in \mathcal{S}^i \setminus C_{k+1}^i | sim_J^\gamma(tr, \ell_j^i) \geq sim_J^\gamma(tr, \ell_t^i), \forall t \in [1..k]\}$ ;
       $\ell_j^i = \text{ComputeLocalRepresentative}(C_j^i)$ ;
    end for
  until no transaction is relocated;
  if  $\ell_j^i$  does not change,  $\forall j \in [1..k]$  then
    send (broadcast)  $(\{\}, V_i = done)$ ;
  else
    send  $(\{(\ell_j^i, |C_j^i|) | j \in Z_h\}, V_i = continue)$  to  $N_h, \forall h \in [1..m]$ ;
    receive  $(\{(\ell_j^h, |C_j^h|) | j \in Z_i\}, V_h)$  from  $N_h, \forall h \in [1..m]$ ;
    if  $(\exists h \in [1..m]$  s.t.  $V_h = continue)$  then
       $g_j = \text{ComputeGlobalRepresentative}(\{(\ell_j^1, |C_j^1|), \dots, (\ell_j^m, |C_j^m|)\})$ ,  $\forall j \in Z_i$ ;
  until  $V_1 = \dots = V_m = done$ ;

```

## ■ CXK-means:

process  $N_i$

# Collaborative Clustering of XML Transactions: Local XML Cluster Representative


Compute the set of  $\gamma$ -shared items among all the transactions within cluster  $C$

1. for each transaction in  $C$ , compute the union of the  $\gamma$ -shared item sets w.r.t. all the other transactions in  $C$
2. compute a raw representative
  - by selecting the items with the highest frequency from the previously obtained union sets
  - possibly conflate those items sharing the same path
3. perform a greedy heuristic to refine the raw representative
  - by iteratively adding the remaining most frequent items until the sum of pair-wise similarities between transactions and representative cannot be further maximized

# Collaborative Clustering of XML Transactions: Global XML Cluster Representative

- The global representative of a cluster  $C$  is computed by considering the  $m$  local representatives  $c^1, \dots, c^m$ 
  - Procedure similar to that used for computing local representatives
  - The structural rank  $g\_rank$  associated with an item considers the rank associated with each item (instead of the number of items) having a  $\gamma$ -match

# Collaborative Clustering of XML Transactions: Complexity

 The picture can't be displayed.



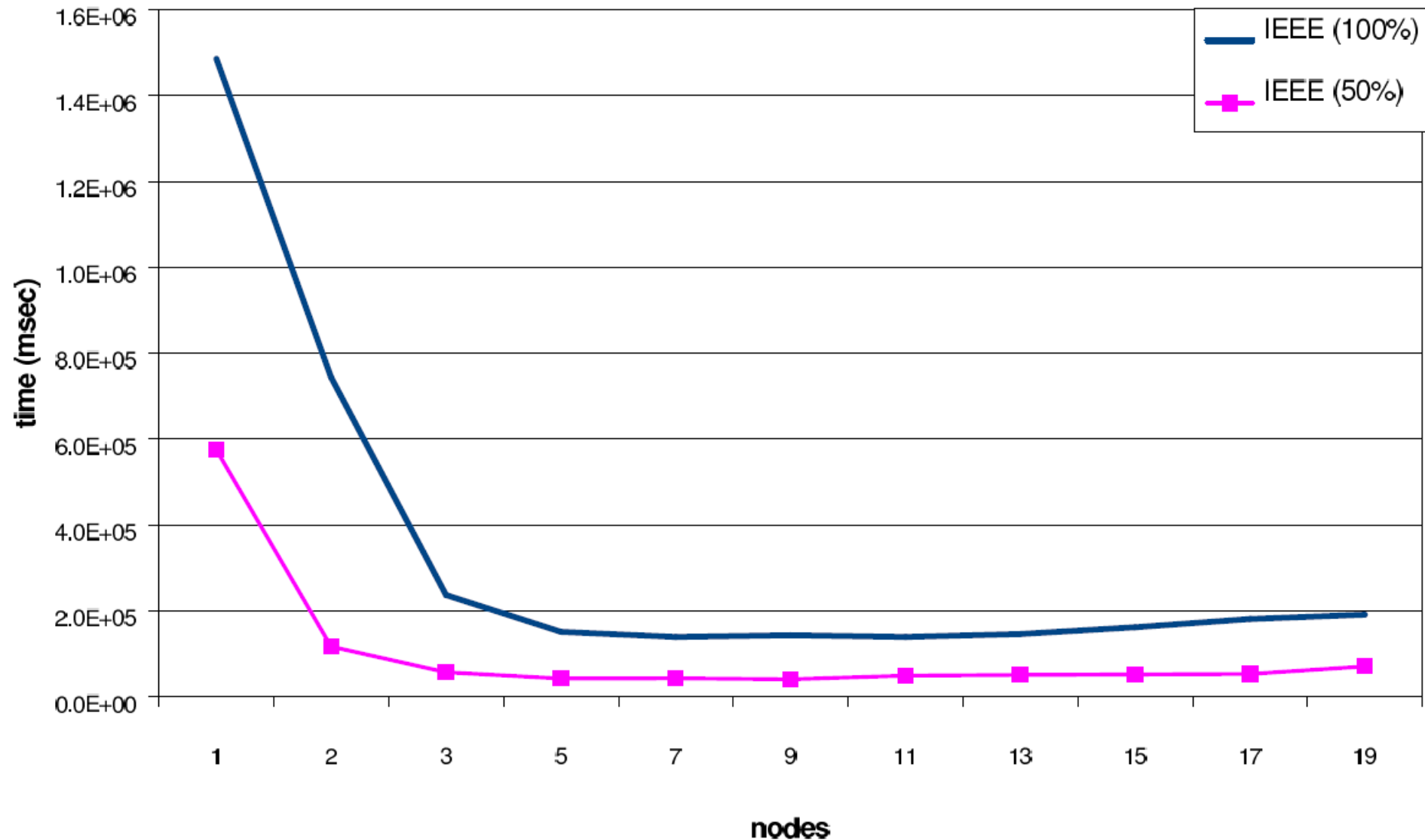
- $m$                       number of nodes
- $k$                          number of clusters
- $|S^i| = |S| / m$          number of transactions node  $i$
- $|tr|$                         max size transaction
- $|V|$                         vocabulary size
- $C_1$                         cost main memory operation
- $C_2$                         communication cost
- $1 \leq h \leq k$             transactions distribution over clusters

# Experimental evaluation: Data description

- Real XML data sources

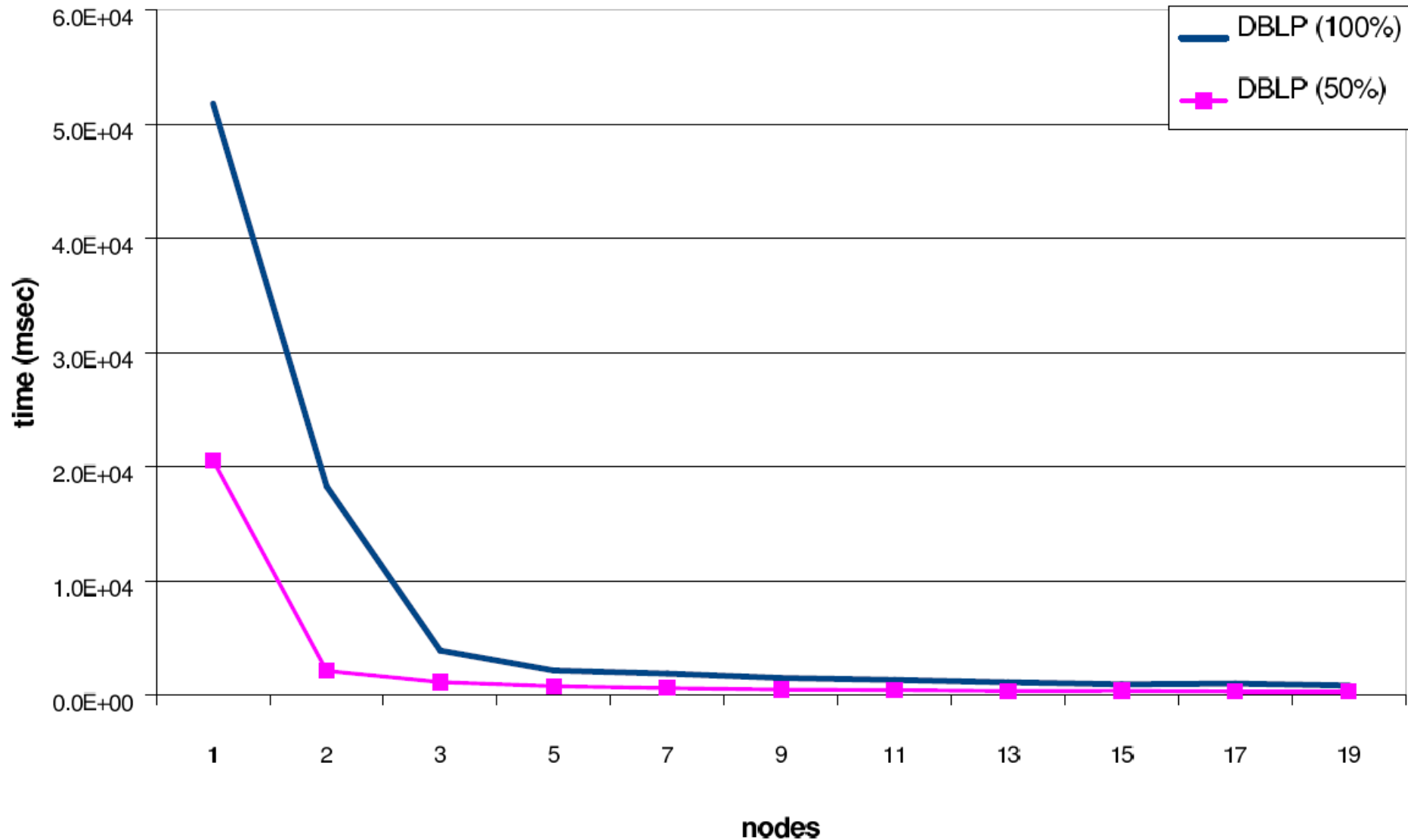
<b>data</b>	<b># docs</b>	<b># trans.</b>	<b># items</b>	<b>max fan out</b>	<b>avg depth</b>
<b>IEEE</b>	4,874	211,909	135,869	43	5
<b>DBLP</b>	3,000	5,884	8,231	20	3

# Experimental evaluation: Efficiency results





# Experimental evaluation: Efficiency results



# Experimental evaluation: Accuracy results

<i>dataset</i>	<i># of clusters</i>	<i># of nodes</i>	<i>F-measure (avg)</i>
IEEE	8	1	0.593
		3	0.523
		5	0.485
		7	0.421
		9	0.376
DBLP	6	1	0.764
		3	0.702
		5	0.662
		7	0.612
		9	0.547

TABLE I

CLUSTERING RESULTS WITH  $f \in [0..0.3]$   
(CONTENT-DRIVEN SIMILARITY)

<i>dataset</i>	<i># of clusters</i>	<i># of nodes</i>	<i>F-measure (avg)</i>
IEEE	14	1	0.564
		3	0.497
		5	0.451
		7	0.404
		9	0.356
DBLP	16	1	0.772
		3	0.721
		5	0.676
		7	0.614
		9	0.558

TABLE II

CLUSTERING RESULTS WITH  $f \in [0.4..0.6]$   
(STRUCTURE/CONTENT-DRIVEN SIMILARITY)

<i>dataset</i>	<i># of clusters</i>	<i># of nodes</i>	<i>F-measure (avg)</i>
IEEE	2	1	0.618
		3	0.542
		5	0.497
		7	0.433
		9	0.386
DBLP	4	1	0.988
		3	0.934
		5	0.882
		7	0.819
		9	0.716

TABLE III

CLUSTERING RESULTS WITH  $f \in [0.7..1]$   
(STRUCTURE-DRIVEN SIMILARITY)

# Conclusion

- Collaborative distributed framework for clustering XML documents
  - C XK-means: a distributed, centroid-based partitional clustering algorithm
  - Peer-to-peer network
  - Local and global decisions for each peer
- XML documents modeled in a transactional domain
  - Modeling of XML transactions starting from the notion of tree tuple
  - Similarity between transaction computed according to both structure and content features



*Thanks*